POLICY NUMBER:	
	COMPANY USE ONLY

	NATIONAL FIRE & MARINE INSURANCE COMPANY CLINIC PROFESSIONAL LIABILITY APPLICATION						
IN	STRUCTIONS						
1. 2. 3.	PLEASE PRINT LEGIBLY. IF THE APPL	Lication is approved, the policy will be f a question is not applicable, print, "I EASE USE a supplemental form.	e based on the information provided. N/a".	New York Control of the			
1.	ORGANIZATION INFORM	IATION					
A.	BROKERAGE FIRM/AGENCY INFO	RMATION					
	BROKERAGE FIRM/AGENCY NAME						
	CITY, STATE AND ZIP CODE						
	BROXER/AGENT NAME		BROXER/AGENT LICENSE NUMBER AND TY	PE			
8.	PHONE CONTACT INFORMATION	FAX	E-MAIL				
	UT Physicians						
1	APPLICANT NAME						
1	6431 Fannin Street: JJL	#475; Houston, TX 77030	Harris				
	MAILING ADDRESS		COUNTY	•			
	STREET ADDRESS (IF DIFFERENT)						
	LaNita Whetstone		Director, Me	d School/UTP Finance			
	CONTACT PERSON NAME		Time				
	713 500 5041	713 .500 .0641	LaNita.Whetstone@uth.tmc	e.edu			
1	PHONE	FAX	E-MAIL				
1	www.utphysicians.com						
c.	WEBSITE ADDRESS REQUESTED COVERAGE EFFECTIVE	DATE (12:01 AM): 06/30/2016					
	THIS DATE CANNOT BE EARLIER THAN	N THE EXPIRATION DATE OF THE APPLICANT	S CURRENT POLICY.				
D.	REQUESTED COVERAGE EXPIRATION ANNUAL POLICY TERMS WILL BEGIN A	ON DATE (12:01 AM): 6/30/2017 UND END ON THE SAME MONTH AND DAY.					
II.	COVERAGES, LIMITS AND	DEDUCTIBLES					
	COVERAGE (*)	REQUESTED LIMITS	OCCURRENCE/CLAIMS-MADE	DEDUCTIBLE			
20.000	ROFESSIONAL LIABILITY	.1M	1) OCCURRENCE	1: NONE 13 \$5,000 13 \$25,000			
"	ACILITY	\$ 1M PER EVENT/	:: CLAIMS-MADE	: \$10,000 # \$50,000			
		\$3M_AGGREGATE	RETRO-DATE 6/30/2003	THE DEDUCTIBLE APPLIES TO: 1) INDEMNITY ONLY 1: INDEMNITY AND EXPENSE			
G	ENERAL LIABILITY	\$_1M_ PER EVENT/	1: OCCURRENCE 1 CLAIMS-MADE	:: NONE :: \$5,000 :: \$25,000 :: \$10,000 # \$50,000			
		\$ 3M GENERAL AGGREGATE	RETRO-DATE 6/30/2012	= OTHER \$			

__ PER EVENT/

_ AGGREGATE

PER EVENT/

11 OCCURRENCE

RETRO-DATE

:: OCCURRENCE

: CLAIMS-MADE

: CLAIMS-MADE

NFM-CLNB-1000-00

: EXCESS PROFESSIONAL LIABILITY

I EXCESS GENERAL LIABILITY

= INDEMNITY ONLY = INDEMNITY AND EXPENSE

II. COVERAGES, LIMITS AND DEDUCTIBLES (CONTINUED)

If shared limit or separate limit coverage for employed or contracted physicians, surgeons, residents, interns, fellows, dentists, oral surgeons, CRNAs, Nurse midwives, CRNPs, podiatrists, physician assistants or surgical assistants is being requested, please complete Section III (Coverages, Limits and Deductibles Schedule) of the Clinic Supplemental Application.

CON	PLETE SECTION III (COVERAGES, LIMITS AND DEDUCTIBLES SCHEDULE	OF THE CLINIC S	UPPLEMENTAL APPLICATION.	QUESTED, PLEASE
Ш	GENERAL INFORMATION			
	TYPE OF LEGAL ENTITY (PLEASE PUT AN "X" IN THE APPLICABLE SPACES): PROFESSIONAL CORPORATION PARTNERSHIP OR PROFESSIONAL ASSOCIATION FOR PROFIT NON PROFIT ENTITY OWNERSHIP (PLEASE PUT AN "X" IN THE APPLICABLE SPACES): PHYSICIAN OWNED	JOINT VENTURE OTHER (PLEASE	TTY CORPORATION (LLC) EXPLAIN): OWNED (PLEASE EXPLAIN):	
	☐ HOSPITAL OWNED	B OTHER (PLEASE	EXPLAIN): President of UTHeath is Provident and	redmom-elghiz t
c.	PLEASE LIST ALL CLINIC LOCATIONS. IF MORE THAN 3 LOCATIONS, PLEASE AT LOCATION #1:	TACH A SEPARATE PI	ECE OF PAPER SHOWING THE ADDITIONAL L	OCATIONS.
	STE STREET CT DATE THIS LOCATION OPENED LOCATION #2:	ту	STATE	Zip
	STE STREET CI	TY	STATE	ZIP
	DATE THIS LOCATION OPENED			
	STE STREET CF	TY	STATE	ZIP
D. E.	DURING THE NEXT 12 MONTHS, ARE THERE ANY PLANS FOR MERGERS OF ADDITIONAL LOCATIONS? IF YES, PLEASE EXPLAIN: "Various outsident clinical services are deemed recessary in a principle of the processor of the proc			EYES INO
L.	☐ AAUCM ☐ JCAHO ☐ AAAHC ☐ NAFAC ☐ UCAC PLEASE PROVIDE A COPY OF THE APPLICANT'S CERTIFICATE/ACCREDITATION IN	7. (1. d)		
F.	ARE ALL LOCATIONS ACCREDITED BY AT LEAST ONE OF THE ORGANIZAT: IF NO, PLEASE ANSWER THE FOLLOWING QUESTIONS: Does the facility have written policies in place addressing tele			□ YES ■ No
	IF NO, PLEASE EXPLAIN: 2. DOES THE FACILITY HAVE WRITTEN POLICIES IN PLACE DESCRIBING THE DISEASES INCLUDING AN ISOLATION POLICY? IF NO, PLEASE EXPLAIN:	PRECAUTIONS FOR DE	VALING WITH PATIENTS WITH INFECTIOUS	A YES D No
	3. Is the identity of patients receiving tests or medications verified to the administration of the test or medication?	ED BY REVIEW OF TV	YO FORMS ON PATIENT IDENTIFICATION PR	IOR ■ YES □ No
	IF NO, PLEASE EXPLAIN: 4. DOES THE ORGANIZATION CREATE AND MAINTAIN A MEDICAL RECORD FO OF SERVICE? IF NO, PLEASE EXPLAIN:	R EVERY PATIENT WI	TH CONTACT INFORMATION AND DATE(S)	B YES □ NO
	5. Does the clinic have written policies and procedures to protect If No, please explain:	T PATIENT PRIVACY?		吾 YES □ NO
G.	MEDICAL DIRECTOR (PLEASE LIST THE MEDICAL DIRECTOR FOR <u>EACH</u> CLINIC Thomas Murphy MID NAME OF MEDICAL DIRECTOR	AND ATTACH A DES Family Medicino SPECIALTY OF MEDIC		S OUTIES):
	713 - 486 - 6224 Thomas J Murphy@vth tmc edu PHONE EMAIL			
н.	DOES THE MEDICAL DIRECTOR ALSO PROVIDE PROFESSIONAL SERVICES	AT THE FACILITY?		YES ONO
I.	IF YES, PLEASE DESCRIBE: patient care is 60% of the time ANNUAL PAYROLL: TOTAL ANNUAL PAYROLL: \$ 26 IM			
J.	### TOTAL PROJECTED ANNUAL REVENUE: \$_171.7M % MEDICARE: 20 %			

Exhibit 9

	% MEDICAID: 14%	COMMERCIAL PAYORS: 29 %				
	% OTHER: 10 Self Pa	y - 27%				
IV.	CLINIC OPERATIONS					
A.	IS THE CLINIC DESIGNATED AS A FEDERALLY QUALIFIED A) TO QUALIFY FOR THE FTCA DISCOUNT, PLEASE PROVIDE T B) WHEN DID THE ORGANIZATION FIRST OBTAIN DEEMED STA	HE % OF THE CENTERS OVERALL EXPENSES COVERED BY FEDERAL GRANTS (IF ANY)		■ No %		
	C) HAVE THERE BEEN ANY CHANGES IN THE CLINIC'S DEEMED IF YES, PLEASE EXPLAIN: N/A	C) HAVE THERE BEEN ANY CHANGES IN THE CLINIC'S DEEMED STATUS SINCE FIRST BECOMING DEEMED? IF YES, PLEASE EXPLAIN: N/A				
В.	IS THE FACILITY FAMILIAR WITH ANY OTHER CHARITABE IF YES, PLEASE EXPLAIN: N/A	E INMUNITY LAWS FOR WHICH THE CLINIC IS QUALIFIED?	YES	□ No		
c.		G HOW TO INFORM PATIENTS OF THE OUTCOME OF THEIR DIAGNOSTIC TEST(S S DURING THEIR VISIT(S) OR WHEN THE PATIENTS RESULTS ARE REVISED DU	TO	in □ No		
D.	Are patients who present with conditions required or specialty phsylcians?	RING FOLLOW-UP CARE PROVIDED REFERRALS TO APPROPRIATE PRIMARY CAR		⊐ N o		
E,	DOES THE CLINIC MAINTAIN IN-HOUSE MEDICATIONS? If Yes, PLEASE EXPLAIN HOW THESE ARE STORED, INVENTORISE BY INVENTORING AND MAINTAINED IN TOCKED CABINETS.	ED, AND DISPENSED: Immunizations and other reimbursable medications as well as d		■ No mples		
F.	IN THE NEXT 12 MONTHS, DOES THE CLINIC PLAN TO CH ANY SERVICES)	ANGE ANY OF THE SERVICES IT OFFERS? (I.E. ADDING OR DISCONTINUING	YES	■ No		
G.	IF YES, PLEASE DESCRIBE: HAVE ANY SERVICES BEEN DISCONTINUED DURING THE LAST 24 MONTHS?					
H. I.	IF YES, PLEASE DESCRIBE: MOST RECENT YEAR, NUMBER OF ANNUAL VISITS TO THIS FACILITY: UPCOMING YEAR, ESTIMATED NUMBER OF ANNUAL VISITS TO THIS FACILITY:			724,401 816,754		
J.	ARE THERE SPECIFIC CRITERIA PATIENTS NEED TO MEET IN ORDER TO QUALIFY FOR SERVICES AT YOUR CLINIC? IF YES, PLEASE EXPLAIN:			₩ No		
K. L	HOW ARE NON-VOLUNTEER PROVIDERS COMPENSATED? PLEASE CHECK ANY OF THE FOLLOWING PROCEDURES THE ABORTIONS					
	☐ ALCOHOL/DRUG TESTING ALLERGY SHOTS ALTERNATIVE/INTEGRATIVE/COMPLIMENTARY MEDICINE	LABORATORY (PATHOLOGY) OBSTETRICS, IF APPLICABLE, PLEASE DESCRIBE TYPES OF SERVICES PROVIDED: General obsterrics				
	ANESTHESIA TOPICAL O NERVE BLOCKS (PLEASE LIST TYPES):	OCCUPATIONAL MEDICINE, IF APPLICABLE, PLEASE LIST THE COMPANIES WITH W CLINIC CONTRACTS TO PROVIDE SERVICES AND EXPLAIN THE SERVICES PROVIDED		THE		
	☐ GENERAL ☐ BEHAVIORAL HEALTH ☐ CHIROPRACTIC ☐ COSMETIC PROCEDURES (PLEASE LIST TYPES):					
	Botox, fillers, microderm abrasion, etc. Dental Diagnostic Radiology, If applicable, are all films overread by a radiologist? Dies Dio Dialysis ECG, If applicable, are all test results	□ RESEARCH/EXPERIMENTAL, IF APPLICABLE, PLEASE EXPLAIN: □ SOCIAL SERVICES □ SUBSTANCE ABUSE TREATMENT □ METHADONE □ TREATMENT FOR CHRONIC PAIN, IF APPLICABLE, NUMBER OF VISITS: Varies □ URGENT CARE				
	OVERREAD BY A CARDIOLOGIST? □ YES ■ NO □ FRACTURES, IF APPLICABLE, PLEASE DESCRIBE THE LEVEL OF TREATMENT: Please see attached sheet	OTHER:				
	□ HOME HEALTH CARE ☐ IMMUNIZATIONS	IF ADDITIONAL SPACE IS NEEDED, PLEASE ATTACH A SEPARATE SHEET OF PAPER.				

V. MEDICAL STAFF

Please provide the information requested below for each physician, if any, that practices at the facility. (IF MORE ROOM IS NEEDED, PLEASE ATTACH A SEPARATE ROSTER OF MEDICAL STAFF).

IMPORTANT NOTE: IF COVERAGE IS DESIRED FOR PHYSICIANS, PLEASE SO STATE ON SECTION III (COVERAGES, LIMITS AND DEDUCTIBLE SCHEDULE), AND SECTION IV (SCHEDULE OF MEDICAL PROFESSIONALS) OF THE CLINIC SUPPLEMENTAL APPLICATION. ALSO, COMPLETE A SEPARATE PHYSICIAN INDIVIDUAL PROFESSIONAL LIABILITY INSURANCE APPLICATION FOR EACH PHYSICIAN.

PHYSICIAN'S NAME	INDICATE IF THE PERSON IS A: MEMBER (M) PARTNER (P) SHAREHOLDER (S) EMPLOYEE (E) CONTRACTED PHYSICIAN (C) OR ALL OTHER (AO)	PRIMARY LICENSE NUMBER	Indicate Primary Specialty	INDICATE THE MUMBER OF HOURS PER WEEK OR DAYS PER WEEK EACH PHYSICIAN WILL SPEND AT THE FACILITY	Volunteer?
N/A					□ YES □ NO
					□ YES □ No
					□ YES □ No
					□ YES □ NO
					□ YES □ NO
					□ YES □ No
					□ YES □ No
					□ YES □ No
					□ YES □ NO

B. ARE ALL OF THE PHYSICIANS PRACTICING AT THE FACILITY BOARD CERTIFIED?

O YES NO

IF NO, HOW HANY ARE NOT BOARD CERTIFIED?

160/1182 胃YES 口No

DOES THE FACILITY HAVE ANY PHYSICIANS ON STAFF THAT DO NOT MAINTAIN STAFF PRIVILEGES AT A HOSPITAL?

INDICATE THE NUMBER OF HEALTH PROFESSIONALS, OTHER THAN PHYSICIANS, WHO WORK AT THE FACILITY: IMPORTANT NOTICE: IF COVERAGE IS DESIRED FOR HEALTH PROFESSIONALS OTHER THAN PHYSICIANS, PLEASE SO STATE ON SECTION III (COVERAGES, LIMITS AND DEDUCTIBLES SCHEDULE) AND LIST THESE HEALTH CARE PROVIDERS ON SECTION V (SCHEDULE OF MEDICAL PROFESSIONALS) OF THE CLINIC SUPPLEMENTAL APPLICATION. IF SEPARATE LIMITS OF COVERAGE ARE DESIRED, ALSO SUBMIT AN APPLICATION FOR EACH INDIVIDUAL FOR WHOM SEPARATE LIMITS COVERAGE IS REQUESTED.

ALLIED PROFESSIONALS EXCEPT PHYSICIANS	# EMPLOYED	# VOLUNTEERS	# CONTRACTED
NURSE PRACTITIONERS	158	0	0
PHYSICIAN ASSISTANTS	104	0	0
LPHS/RNS	162	0	0
LABORATORY TECHNICIANS			
SOCIAL WORKERS	19	0	0
OTHER (PLEASE SPECIFY):	98	0	44
Please see attached listing			

DOES THE APPLICANT SUPERVISE ANYONE OTHER THAN ITS OWN EMPLOYEES?

.: YES NO

IF YES, DESCRIBE THE RESPONSIBILITY OF BOTH THE SUPERVISORY AND SUPERVISED INDIVIDUALS, AND THE RELATIONSHIPS BETWEEN THE INDIVIDUALS:

ALSO INDICATE, BY TYPE OF MEDICAL PROFESSIONAL, THE NUMBER OF INDIVIDUALS THE FACILITY SUPERVISES:

IF NO, WHAT ARE THEIR OTHER RESPONSIBILITIES AND HOW MUCH TIME IS DEVOTED TO RISK MANAGEMENT?

VI. RISK MANAGEMENT

A.	15 THERE A FORMAL RISK MANAGEMENT PROGRAM?	
_	=	

YES O NO

B. IS THERE A FULL-TIME RISK MANAGER?

YES U NO

IS THERE A WRITTEN INCIDENT REPORTING PROCEDURE?

YES INO

If YES, DOES THIS PROCEDURE REQUIRE REVIEW AND APPROPRIATE CORRECTIVE ACTION BE TAKEN?

FYES I NO

Is there a follow-up to assure compliance?

FYES E NO

D.	Is there an ongoing quality assi 1. If Yes, is the person responsib			HIS COMMITTEE?			YES INO
	2. TO WHOM IS THE QUALITY ASSURANCE COMMITTEE ACCOUNTABLE?						
	Dr. Gulssappe Colasurdo			Chairman of Boar	4CEO		
	NAME 3. WHAT QUALITY INDICATORS ARE M Please soo attached sheet	ONTTORED (PLEASE LIS	т)?	TITLE			
	4. Does the facility monitor its i	NEECTION DATES?					₩ YES □ No
E.	IS THERE AN ACTIVE PEER REVIEW P		ANS THAT IS PART	OF THE QUALITY	MANGEMENT PROGRAM	4?	胃 YES ロ No
-	IF NO, PLEASE EXPLAIN:					•	
	IF NO, PLEASE EXPLAIN.						
F.	IS THERE AN ONGOING CONTINUING	EDUCATION PROGRA	M FOR:	NURSING STAFF	?		YES D NO
1				OTHER ALLIED	HEALTH PROFESSIONALS	5?	胃 YES D NO
G.	NAME OF THE PERSON OUR RISK MAI	NAGEMENT CONSULTA	INT MAY CONTACT				
1	Cathenne Thompson			Heathcare Risk A	kanoger		
	NAME			TITLE			
NEW STATE	. CREDENTIALING						
A.	WHEN HIRING PROFESSIONALS AND	- 17Th	S THE APPLICANT	:			
	VERIFY EDUCATIONAL BACKGROUND						E YES C NO
1	2. CHECK ALL REFERENCES INCLUDING						YES (1) NO
1	3. CHECK FOR PENDING LICENSE SUSP	ensions, revocation	S OR DISCIPLINARY	ACTIONS BY OTHER	FACILITIES?		YES D NO
	4. CHECK CRIMINAL HISTORY?						目 YES ロ No 目 YES ロ No
В.	5. REQUIRE PRIOR MEDICAL PROFESSI ARE THE CREDENTIALS OF EACH PHYS			COMMITTEE AND	APPROVED BY THE GO	VERNING RODY	
-	PRIOR TO GRANTING PRIVILEGES?	JOHN REVIEWED DI	A FILDICAL STATE	COMPILITIES AND	A	VC	■ YES □ NO
C.	IS AN ONGOING QUALITY ASSURANCE	E REVIEW MAINTAINE	D ON ALL STAFF	MEMBERS' CLINICA	L WORK?		FYES INO
D.	DO MEDICAL STAFF BYLAWS REQUIRE					FESSIONAL	
	LIABILITY INSURANCE?						FYES INO
	1. IF YES, WHAT ARE THE REQUIRED			\$ see attache			
	2. If retired physicians are work	ING AT THE FACILITY, V	WHERE IS THEIR PR	OFESSIONAL WABILIT	Y COVERAGE PROVIDED?	by departments	
_	3. ARE CERTIFICATES OF INSURANCE						# YES I NO
E.	WHAT ARE THE MINIMUM LIMITS OF APPLICANT'S FACILITY TO CARRY?	LIABILITY REQUIRED	FOR NON-PHYSI	\$ 500,000 per	dalm / § 15	G AT THE Meniciment yr oggr	
	ARE CERTIFICATES OF INSURANCE OBTAI	NED AT LEAST ANNUALL	Y FROM EACH INDI				── Yes □ No
F.	HAS THE LICENSE OF ANY PHYSICIAN	REEN RESTRICTED.	REVOKED OR SUSE	ENDED IN THE LAS	T FIVE YEARS?		E YES CI NO
1	IF YES, PLEASE EXPLAIN: during the induct						
G.					H AN EXPLANATION O	F ANY "YES" A	NSWERS)
	1) HAVE EVER BEEN THE SUBJECT OF DIS	SCIPLINARY OR INVESTI	GATTVE PROCEEDING	GS, OR A REPRIMAND	BY A GOVERNMENTAL L	LICENSE BOARD	OR ADMINISTRATIVE
ŀ	AGENCY, HOSPITAL OR PROFESSIONAL					70-7 100 NO MARKES MARKES - 1 (120 NO MARKES)	CI YES 🗏 NO
	HAVE YOU EVER BEEN INDICTED FOR, OFFENSES, OR HAD HOSPITAL PRIVILE	CHARGED WITH, OR CO	ONVICTED OF, ANY	ACT COMMITTED IN	VIOLATION OF ANY LAW	OR ORDINACE, C	OTHER THAN TRAFFIC
	A REPRIMAND, PLACED ON PROBATION			ALD PRIVILEGES REFE	ISED, DENIED, REVOKED	, SOSPENDED, N	□ YES ■ NO
		TOR VOCUMENTED	KENDEKED:				
VII	II. PHYSICAL PLANT						
A.	PLEASE FURNISH THE FOLLOWING IN A SEPARATE SUMMARY OF LOCATIONS/EX					Y THE APPLICA	INT.
Adt	DRESS OF PROPERTY TO BE INSURED	USE/OCCUPANCY	SQUARE FOOTAGE	AGE	Type of Construction	NUMBER OF STORIES	FIRE PROTECTION*
PAT	IENT CARE BUILDINGS:						
-	DI- O . All . I		-	+	-		
_	Pls See Attached					-	
				1			
Отн	IER BUILDINGS:						
-			 	 		 	
_				-	-	-	
*Fo	R EACH BUILDING, INDICATE IF THERE IS		EM—FULL, PARTIA ENTRAL STATION OF		System; Smoke Detect	TOR, HEAT DETE	стоя;

В.	DO ALL FACILITIES COMPLY WITH THE NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) 101 LIFE SAFETY CODE 2000 EDITION OR NEWER?	YES	D No
	If No, please explain:		
IX.	GENERAL LIABILITY		
	Do you desire general liability coverage? If No, skip to Section X.	■ YES	□ No
A.	IS THERE A PREVENTATIVE AND CORRECTIVE MAINTENANCE PROGRAM IN PLACE FOR MEDICAL EQUIPMENT AT THE FACILITY? 1. How often are non-expendable machines or devices inspected and maintained? annually	₩ YES	⊡ No
	2. Who performs the maintenance on the above equipment? ☐ Employees ☐ Independent Contractors 3. If Independent Contractors, what is the minimum general liability limit that is required by the facility? \$ 1M		
	\$ 1M /\$ 31 4. Does the applicant obtain a certificate of insurance annually to verify that coverage is in place?	₩ YES	r No
В.	Is any of the bio-medical equipment used at the facility owned by physicians? If Yes, who is responsible for the preventative maintenance, inspection and repair of the equipment?	O YES	
c.	Is the applicant's bio-medical equipment ever loaned or donated to others for use?	□ Yes	■ No
	IF YES, DESCRIBE:		A-70000
D.	DOES THE APPLICANT RENT OR LEASE MEDICAL EQUIPMENT FROM OTHERS?	□ YES	□ No
	IF YES, WHO IS RESPONSIBLE FOR THE MAINTENANCE OF THE EQUIPMENT?		
_			
E.	Does the applicant use an advertising agency? 1. If Yes, what are the minimum professional liability limits required? \$/ \$	□ YES	■ No
	2. Is the applicant included as an additional insured on the advertising agency's policy?	D YES	□ No
_	3. IS THERE A HOLD HARMLESS AGREEMENT IN THE CONTRACT IN FAVOR OF THE APPLICANT?	□ YES	
F.	ARE THERE ANY PLANS FOR NEW CONSTRUCTION OR RENOVATIONS DURING THE NEXT 12 MONTHS? IF YES, PLEASE DESCRIBE THE CHANGES PLANNED, INCLUDING THE TIME FRAME AND ESTIMATED COST: Openeds and difficult to extend to be present into	■ YES Sometimes	
	will receive "refreshers" (e.g., new paint job, new carpet, new furniture, etc.).		
G.	PLEASE INDICATE BELOW WHICH OF THE FOLLOWING APPLY AND SPECIFY THE CORRESPONDING PROJECTIONS FOR THE NEXT 12 HONTHS:		
	1. HABITATIONAL RISK: CI APARTMENT CI DWELLING CI HOTEL A NONE CI OTHER, PLEASE DESCRIBE:		
	A) NUMBER OF UNITS: UNITS YEAR BUILT:		
	B) ARE THERE AT LEAST TWO EXITS LOCATED REMOTELY FROM EACH OTHER?		s 🗆 No
	C) FOR APARTMENT BUILDINGS AND HOTELS, ARE THERE LIGHTED EMERGENCY EXIT SIGNS?	L) YE	s 🗆 No
	2. PAID PARKING: RECEIPTS/YEAR: \$		
	3. SPECIAL ATHLETIC OR FUND RAISING EVENTS: RECEIPTS/YEAR: \$ DESCRIBE PLANNED EVENTS FOR THE UPCOMING YEAR AND INDICATE IF ALCOHOL WILL BE SERVED:		
H.	DOES THE APPLICANT LEASE SPACE TO OTHERS? within the State of Texas and in some clinics located in Harris county	∃ YE	s 🗆 No
	CITY, STATE AND ZIP CODE Various	_	
	SQUARE FOOTAGE OCCUPANCY/USE OF SPACE		
	 Does the lease require the tenant to carry a general liability (GL) insurance policy with a limit of at least \$1,000,000 per occurrence? 	₽ Ye	s 🗆 No
	2. IS A CERTIFICATE OF INSURANCE OBTAINED ANNUALLY TO VERIFY COVERAGE IS IN PLACE?	150 500 500	s a No
	3. IS THE TENANT REQUIRED TO LIST THE APPLICANT AS AN ADDITIONAL INSURED ON THE TENANT'S GL POLICY?	₩ YE	s 🗆 No
X.	EXCESS LIABILITY		
	DOES THE APPLICANT DESIRE EXCESS LIABILITY COVERAGE? IF NO, SKIP TO SECTION XI.	□ YE	s 🗏 No
A.	HAS THE APPLICANT'S EXCESS PROFESSIONAL OR COMMERCIAL GENERAL LIABILITY LIMITS BEEN INCREASED WITHIN		
	THE LAST FIVE YEARS? IF YES, WHAT WAS THE PRIOR LIMIT AND WHEN WAS IT INCREASED?	C YE	s □ No
	\$/\$	_/_	
	мм	YYY	Υ

XI. COVERAGE HISTORY AND INFORMA	TION				
NOTE: QUESTION XI. A. IS NOT TO BE COMPLETED					
A. HAS ANY COMPANY EVER CANCELLED OR REFUSE	D TO OFFER INSURANCE	COVERAGE TO THE	APPLICANT?		□ YES 🗏 NO
IF YES, PLEASE PROVIDE DETAILS:					
B. PLEASE CHECK WHICH TYPE OF NOTICE THE APPLICANT'S PRESENT PROFESSIONAL LIABILITY INSURER REQUIRES BEFORE IT WILL FORMALLY RECOGNIZE A CLAIM UNDER ITS POLICY:					
C SUMMONS AND COMPLAINT OR ATTORNEY DEMAND					
WRITTEN NOTICE FROM THE APPLICANT THAT A PO HAS THE APPLICANT CONDUCTED A RECENT REVI				GIVE RISE TO FUTL	JRE CLAIMS,
AND HAVE THESE BEEN FORWARDED TO THE CURI IF YES, PROVIDE THE DATE OF THE REVIEW AND THE	RENT INSURER?				□ YES B NO
MM YYYY NAME AND TITLE D. PLEASE PROVIDE THE APPLICANT'S INSURANCE H	IISTORY FOR THE LAST F	IVE YEARS.			
POLICY PERIOD	MOST RECENT YEAR	1 YEAR PRIOR	2 YEARS PRIOR	3 YEARS PRIOR	4 YEARS PRIOR
PROFESSIONAL LIABILITY					71, 17
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					
GENERAL LIABILITY					
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					
EXCESS LIABILITY			F 10 10 10 10 10 10 10 10 10 10 10 10 10	ig	
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) CR OCCURRENCE (O)					
PREMIUM					
XII. LOSS INFORMATION (IMPORTANT!	FULLY COMPLETE)				
FOR EACH CLAIM, POTENTIAL CLAIM OR SUIT MENTIONED BELOW, PLEASE COMPLETE SECTION I (LOSS HISTORY) OF THE CLINIC SUPPLEMENTAL APPLICATION. A. HAS THE APPLICANT (INDEPENDENTLY OR THROUGH A NAMED INSURED) BEEN INVOLVED NOW OR IN THE PAST, DIRECTLY OR INDIRECTLY, IN A CLAIM, POTENTIAL CLAIM, OR SUIT ARISING OUT OF THE RENDERING OR FAILING TO RENDER PROFESSIONAL SERVICES INVOLVING FORMER OR PRESENT PARTNERS, MEMBERS OF THE CORPORATION OR ANY FORMER OR PRESENT EMPLOYEE OR INDEPENDENT CONTRACTOR OF THE CORPORATION, PARTNERSHIP OR ORGANIZATION?					
IF YES, HOW MANY?	urt'e inclinen?				- Vec 12 No
IF YES, HAVE THESE BEEN REPORTED TO THE APPLICANT'S INSURER? Does the applicant or any of its employees/contractors have knowledge of any incident, or unexpected adverse outcome resulting in injury or death, claim, potential claim, or suit in which the applicant may become involved, including without limitation, knowledge of any injury arising out of the rendering or failing to render					
PROFESSIONAL SERVICES WHICH MAY GIVE RISE CORPORATION, OR ANY FORMER OR PRESENT EMP	PLOYEE OR INDEPENDENT			PARTNERSHIP OR	- V V-
ORGANIZATION WHICH MAY GIVE RISE TO A CLAI IF YES, HOW MANY?	Mr				□ YES □ NO
IF YES, HAVE THESE BEEN REPORTED TO THE APPLICANT'S INSURER?					
XIII. ATTACHMENTS					
A copy of the following information must be submitted with this application. If hot available, please explain.					
A. A COPY OF THE APPLICANT'S CERTIFICATE/ACCREDITATION INCLUDING ANY RECOMMENDATIONS MADE. B. FINANCIAL INFORMATION. THE MOST RECENT THREE (3) YEARS OF FINANCIAL STATEMENTS INCLUDING THE AUDITOR'S OPINION, IF APPLICABLE. C. FTCA DEEMING APPLICATION (IF APPLICABLE)					

D.	LIST OF OPERATIONS OR	ACTIVITIES PERFORMED TH	AT ARE NOT OTHERWISE	DESCRIBED IN THE APPLICATION.
----	-----------------------	-------------------------	----------------------	-------------------------------

- E. LOSS INFORMATION. RECENTLY VALUED LOSS RUNS FROM THE APPLICANT'S INSURANCE CARRIERS COVERING THE LAST (10) FULL YEARS. THE LOSS INFORMATION SHOULD INCLUDE PAID AND RESERVED AMOUNTS.
- ANNUAL REPORT (IF OHE IS PUBLISHED).
- ALL CURRENT ADVERTISING MATERIALS.
- ORGANIZATIONAL CHART INCLUDING THE NAMES OF ALL ENTITIES AND A BRIEF DESCRIPTION OF OPERATIONS.
- COPY OF THE APPLICANT'S CURRENT INSURANCE POLICY.

XIV. IMPORTANT NOTICE

This insurance may contain claims-made coverage. Certain coverages of this insurance may be limited to liability for injuries for WHICH CLAIMS ARE FIRST MADE AND REPORTED DURING THE POLICY PERIOD ARISING OUT OF INCIDENTS OR ACTS THAT FIRST OCCURRED ON OR AFTER THE applicable retroactive date. Please read and review the policy carefully.

XV. FRAUD NOTICE

MANDATORY: ALL APPLICANTS MUST READ AND INITIAL THE FOLLOWING:

Any person who knowingly and with intent to injure, deceive, or depraud any insurance company or other person files AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR FAILS TO PROVIDE COMPLETE INFORMATION OR conceals, for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent INSURANCE ACT, WHICH IS A CRIME, AND MAY BE PROSECUTED UNDER STATE LAW AND MAY BE GUILTY OF A FELONY AND SUBJECT TO CRIMINAL AND CIVIL PENALTIES, PINES, DENIAL OF INSURANCE OR CONFINEMENT IN PRISON.

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XVI. PLEASE READ AND SIGN

ON BEHALF OF THE ENTITY APPLYDIG FOR COVERAGE HEREIN:

I HERBBY DECLARE THAT THE ABOVE STATEMENTS AND PARTICULARS ARE TRUE AND THAT NO MATERIAL FACT HAS BEEN KNOWINGLY SUPPRESSED OR MISSTATED.

AGREE THAT THIS APPLICATION SHALL BE THE BASIS OF THE CONTRACT WITH THE COMPANY.

I agree to notify the Company if there is any future material change in any answer 10 this application, including without limitation, any change in PROFESSIONAL SPECIALTY, AFFILIATION, OR YIORKING ARRANGEMENT WITH ANY PHYSICIAN, DENTIST, FIRM OR PROFESSIONAL ASSOCIATION.

TRANSMIN ON A THEORY OF THE PROPERTY OF THE PR EFFECT OR PROVIDE THE COMPANY WITH THE RIGHT TO RESCHO COVERACE.

BY MAKING THIS ANYLICATION, I AN NOT RELYING UNON ANY DRAL OR WAITTEN REPRESENTATION THAT COVERAGE HAS OR WILL BE EXTENDED OR THAT A POLICY OF INSURANCE WILL BE ISSUED.

I FURTHER UNDERSTAND AND AGREE THAT THERE IS NO RIGHT TO DENAND OR EXPECT COVERAGE UNTIL THE COMPANY HAS: (1) RECEIVED A COMPLETED APPLICATION; (2) OFFERED A PREMIUM QUOTE; AND (3) RECEIVED, AS A PRECONDITION TO COVERAGE, THE TOTAL PREMIUM DUE OR, IF THE COMPANY HAS AGREED TO FUNANCE THE premium, the first installment due. In addition, I understand that if the premium or first untillizert is paid by check, electronic transfer or money ORDER, IT SHALL NOT BE CONSIDERED AS "RECEIVED" BY THE COMPANY UNTIL IT HAS BEEN NONORED BY THE BANK.

I AGREE THAT IF THESE TERMS ARE NOT COMPUTED WITH, THERE WILL BE NO COVERAGE FOR MY CLAIM UNDER ANY POLICY OF INSURANCE FOR WHICH THIS APPLICATION IS BEING SUBMITTED. I FURTHER ACKNOWLEDGE THAT ANY AND ALL RESPONSES TO QUESTIONS, STATEMENTS AND EXPLANATIONS HADE IN THIS APPLICATION, OR IN ANY AND ALL DOCUMENTS, SUPPLEMENTAL PAGES OR OTHER ATTACHMENTS (HEREINAFTER "ATTACHMENTS") ARE TRUE AND THAT I, NOR AIM APPLICANT, HAVE KNOWINGLY SUPPRESSED OR HISSTATED ANY MATERIAL FACTS AND I, AND AIM APPLICANT, AGREE THAT THIS APPLICATION, AND ANY ATTACHMENTS, SHALL BE THE BASIS OF THE CON-TRACT WITH THE COMPANY.

I ALSO UNDERSTAND THAT THE COMPANY MAY WISH TO CONTACT PERSONS, HOSPITALS, SCHOOLS, EMPLOYERS, INSURLICE AGENTS, PROFESSIONAL CLABILITY INSURERS ON OTHER INDIVIDUALS OR ENTITIES TO VERIFY AND/OR ASCERTAIN INFORMATION RECARDING CREDENTIALS AND BACKGROUND BOTH PRIOR TO AND, IT ISSUED, AFTER THE ISSUANCE OF CONTRAST OF INSURANCE. THEREFORE, I HEREBY INSTRUCT ANY SUCH PERSON, HOSPITAL, SCHOOL, EMPLOYER, INSURANCE AGENT, PROFESSIONAL CLASSITY SHERER OF OTHER ENTRY TO RELEASE TO THE COMPANY ANY INFORMATION REQUESTED, WHICH THE COMPANY, IN GOOD PAITH, RELIEVES TO BE APPLICABLE AND PERTHAGENT TO THIS APPLICATION, AND TE SSUED, THE CONTRACT OF INSURANCE ISSUED HEREUNDER. Cara

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XVII. SUPPLEMENTAL INFORMATION	

NFM-CLNB-1000-00

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SIGNATURE OF OFFICER OR AUTHORIZED DEBUGSENTATIVE

5/2012

IV. Clinic Operations

L. - Fractures, if applicable, please describe the level:

For fractures, Orthopaedics clinics do perform physician exams, x-rays as needed, and casting/splinting as needed as well. Additionally, sometimes, reduce fractures are also performed in the clinics. Occasionally, the physicians have to reduce distal radial fractures that have come from the ER and need re-splinting and a reduction. It is not often, but this is performed on occasion.

VII. Credentialing

1. Liability Insurance – What are the required minimum limits of liability:

Staff Physician or Dentist \$ 500,000 per claim / \$ 1,500,000 enrollment year aggregate Resident \$ 100,000 per claim / \$ 300,000 enrollment year aggregate Medical or Dental Student \$ 25,000 per claim / \$ 75,000 enrollment year aggregate Plan Annual Aggregate \$ 30,000,000

- 2. Retired physicians are normally covered by the departments same as regular physicians.
- E. Non-physician medical professionals \$ 500,000 per claim / \$ 1,500,000 enrollment year aggregate

X. Excess Liability - Monitoring of Quality Indicators

UTP is currently involved in an extensive, comprehensive program for quality and value outcomes as it relates to patient care. There are a variety of clinical measures in place that are continuously monitored by at least two different committees, one is Clinical Analytics the second being the Quality Council. Each is made up of a multi-disciplinary group of clinical and non-clinical UT staff who have data point access to each of UT's Primary Care Providers. Additionally each of the providers has un-blinded access to their individual performance data and can compare their performance in meeting the clinical measures with their peers.

Currently the measures we are using for quality and outcomes analysis are as follows

Every patient at every visit has a reconciliation of all medications they are taking, whether prescription or OTC.

The patients BMI (Basal Metabolic Index) is calculated at each visit. If over or under acceptable targets it will be addressed.

All CBC's (Community Based Clinics) utilize Nurse Case Managers to identify complex medical case patients and follow them closely with their individual physicians in a chronic disease registry. These conditions include Asthma, Diabetes, Congestive Heart Failure, COPD, and Coronary Artery Disease. The Nurse Managers work closely with the physicians to ensure timely, appropriate care for the high risk patients.

Each CBC has access to a Clinical Pharmacologist who evaluates each patient for potential drug interactions and appropriate drug usage.

All Medicare patients for are additionally carefully monitored for a variety of measures that are entered into the EMR for data analysis.

Included in these measures which are generally grouped as patient and caregiver experience, patient safety, at risk patients and preventative care. These measures are specifically addressed by utilizing the following metrics.

Screening for risk of falling and intervention if needed

Screening for depression and intervention if needed

Ensuring the patient is up to date with yearly vaccinations for Influenza and appropriate Pneumonia vaccinations

Screening for tobacco use and intervention if needed

Screening for early cancer detection including age and condition indicated guidelines for Colon and Breast Cancer

Close monitoring of diabetic patients with a goal of Hemoglobin A1C levels under 9, monitoring the diabetic patients for yearly eye exams for early diagnosis of diabetic retinopathy

Patients with Ischemic Vascular Disease are monitored for concomitant Aspirin use

All patients are monitored for control of Blood Pressure with the goal of 140/90. Treatment protocols are adjusted as needed to achieve the target

Heart Failure patients are monitored for concomitant use of Beta Blocker medications

Additional measures such as patient and care giver experience are monitored through surveys sent to patients after a clinic visit, results are tabulated and distributed to the clinics for review and an action plan if needed

Initially targeted at the Medicare population these measure are now being applied to all patients seen in UT Primary Care clinics. This effort will be expanded to all patients seen in all UTP clinics ensuring a minimum standard of expected care for all our patients. All of these measures follow current best practices and evidence based guidelines for appropriate care. Additional clinical guality measures are

added as needed through recommendations from the Clinical Analytics Committee and the Quality Council.

Certain of the CBC sites are additionally involved in a program allowing access to data for performance improvement through measurement of hospitalized patients' length of stay, readmission rates, and cost of care for the patient compared to similar patients' costs.

Omaha, Nebraska

DECLARATIONS

NOTICE: This policy may contain claims-made coverage. Please read this policy carefully.

Policy Nu	mber: HN005259		
ITEM 1	FIRST NAMED INSURED: UT Physicians ADDRESS: 6414 Fannin #1500 Houston, TX 77030		
ITEM 2	POLICY PERIOD: From 06/30/2016 to 06/30/2017 Both days at 12:01 a.m. at the address of the First Named Insured as stated	herein.	
ITEM 3	COVERAGES SELECTED: (Please refer to the applicable Schedule of Insureds for limits, deductibles, 1)	_	.
		Occurrence	Claims-Made
	HEALTH CARE FACILITIES PROFESSIONAL LIABILITY		Х
	COMMERCIAL GENERAL LIABILITY	Х	
	EMPLOYEE BENEFITS LIABILITY		· X
	CYBER-LIABILITY/REGULATORY FINES & PENALTIES/CRISIS MANAGEMENT/DATA PROTECTION REPUTATIONAL HARM INSURANCE		х
ITEM 4	COVERAGES NOT SELECTED:		
	PHYSICIANS PROFESSIONAL LIABILITY		
	HEALTH CARE PROVIDERS PROFESSIONAL LIABILITY		
	EMPLOYER'S LIABILITY		
	MANAGED CARE ERRORS AND OMISSIONS		
	EXECUTIVE LIABILITY, ENTITY LIABILITY, EMPLOYMENT PRACTICES LIABILITY, AND THIRD PARTY LIABILITY		
ITEM 5	TOTAL PREMIUM: (May reflect deposit premium, which is subject to audit. The premium does tax, which must be collected by the producer.)	\$ not include any	surplus lines
ITEM 6	PRODUCER:		
	I S WHEREOF, National Fire & Marine Insurance Company has caused this posigned by its duly Authorized Representative, where necessary).	olicy to be signed	by its President
	Smill of Musical President		
Countersign	ed By: Date:		

Omaha, Nebraska

SCHEDULE OF INSURED FACILITIES HEALTH CARE FACILITIES PROFESSIONAL LIABILITY (CLAIMS-MADE)

	DECLARATIONS	
Policy Number: HN005259	First Named Insured: UT Physicians	

DEDUCTIBLE - LOSS ONLY

MODIFIED COVERAGE

Per Event Deductible:

50,000

\$

FNI

SCHEDULE OF INSUREDS

In consideration of the payment of the premium due, and in reliance upon the representations of all Insureds, the Company and the Insureds agree the following entities are designated as insured facilities.

INSURED FACILITY UT Physicians	ID NUMBER 250924	RETRO- ACTIVE DATE 06/30/2003	MODIFIED COVERAGE	PER EVENT/ AGGREGATE LIMIT OF LIABILITY \$ 1,000,000 / \$ 3,000,000	PREMIUM
Bayshore Family	944373	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Bellaire Family General Practice	944378	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Bellaire Health Center	944380	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Bellaire Non-invasive Cardiology	944386	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Bellaire Surgery Specialty Timeshare	944432	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Bellaire Surgical Specialties	944384	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Bellaire Women's Ctr Wellness	944388	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Center for Healthy Aging	944396	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Cinco Ranch & Cinco Ranch Non Invasive	944367	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Convenient Care Ctr-Summer Creek	944399	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included

INSURED FACILITY	ID NUMBER	RETRO- ACTIVE DATE	MODIFIED COVERAGE	PER EVENT/ AGGREGATE LIMIT OF LIABILITY	PREMIUM
EP Heart-Huntsville Clinic Timeshare	944409	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Family Practice Clinic	944360	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
General, Plastic	944375	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Greenspoint Clinic	944433	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Gynecologic Oncology	945110	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Heights Clinic	944410	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Hillcroft Medical Center Timeshare	944428	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Bone and Joint Clinic	944381	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial City Iron Man	944379	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial City Pedi Specialties	944413	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Herman SE Cardiology - Dr. Bapat	944376	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Hermann Humble (NE) Orthopaedics Timeshare	944411	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Hermann NE CV Surgery	944408	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Southeast CV Surgery	944422	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Southeast Orthopaedic	944404	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Southeast Otorhinolaryngology	944405	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial Sugarland Ortho	944417	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Memorial SW Oncology Timeshare	944420	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
MHHS SE CV Vascular	944370	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
MHMP Cardiovascular Surgery	944397	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included

Edition Date: 1/2011

INSURED FACILITY	ID NUMBER	RETRO- ACTIVE DATE	MODIFIED COVERAGE	PER EVENT/ AGGREGATE LIMIT OF LIABILITY	PREMIUM
Neurology Adult	944371	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Neurology MNA Timeshare	944415	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Northwest Orthopedics NW Doctors Plaza	944383	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
OB Gyn Physician Office	944369	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
ORL Bellaire Timeshare	944427	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Otorhinolaryngolgy	944358	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Otorhinolaryngology, Hearing Aids	944429	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Pedi Ortho	944374	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Pediatric Cardiology/Pediatric	944400	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Psychitry Clinic/Phychiatry	944402	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Saint Joseph's Maternal Fetal Clinic	944390	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Sienna Non-Invasive Cardiology	944394	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Sienna Plantation Health Center	944392	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Sports Medicine Institute Timeshare	944407	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
SW Jones Ortho, Medical Plaza 4	944385	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Urology Clinic	944366	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UT Bay Area Pediatric	1069815	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UT Bellaire Orthopedic Center	944357	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UT Center for Autoimmunity, Rheumatology, Infusion	944426	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UT Katy Ortho Clinic	944356	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included

INSURED FACILITY	ID NUMBER	RETRO- ACTIVE DATE	MODIFIED COVERAGE	PER EVENT/ AGGREGATE LIMIT OF LIABILITY	PREMIUM
UT Ortho Trauma	944430	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UT Woodlands Orthopedics	944387	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Bay Area Rectal Clinic - Memorial City	1069818	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
Utp Bayshore MultiSpecialty Clinic	1069816	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Clear Lake SE CV Surgery	944416	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Cline - TMC Smith TwrStg	1069823	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - Katy	1069817	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - Memorial City	1093807	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - Peakwood	1069819	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - Southeast	1069820	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - Sugar Land	1069821	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - The Woodlands	1069822	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - TMC	1069827	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon & Rectal Clinic - TMC South TwrStg	1069824	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Colon and Rectal	944365	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Comprehensive Sickle Cell Clinic	1069828	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP CV Surgery at Memorial City	944414	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Dashwood Behavioral Health	944425	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Dashwood Healthcenter	944421	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Dashwood Multispecialty	944418	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included

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	ID	RETRO- ACTIVE	MODIFIED	PER EVENT/ AGGREGATE LIMIT OF	
INSURED FACILITY	NUMBER	DATE	COVERAGE	LIABILITY	PREMIUM
UTP Dashwood Pediatrics	944419	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Dermatology	944359	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP EP Heart	1069829	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP EP Heart - Clear Lake	944393	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP EP Heart-Conroe Timeshare	945113	06/30/2003	· · · · · · · · · · · · · · · · · · ·	\$ 1,000,000 / \$ 3,000,000	Included
UTP EP Heart-TMC Timeshare	944395	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP EP Heart-Willowbrook Timeshare	944389	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP EP Heart-Woodlands	944391	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Gynecologic-Oncology Ctr at Mem City	944401	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Hosp - Bellaire	1069830	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Hosp - Bellaire Radiology	1069831	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Hosp - TMC/BINZ	1069832	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Hosp - West Houston	1069833	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Orthopedic Clinic	1069834	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Orthopedic Surgery Pearland East	941159	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Pediatric Center of Katy	944364	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Pediatric Health Center @ Cinco	1069835	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Pediatric Neurology	944362	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Physicians EP Heart	944443	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included
UTP Primary Care at Smith Tower	944398	06/30/2003		\$ 1,000,000 / \$ 3,000,000	Included

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		RETRO-		PER EVENT/ AGGREGATE	
	ID	ACTIVE	MODIFIED	LIMIT OF	
INSURED FACILITY	NUMBER	DATE	COVERAGE	LIABILITY	PREMIUM
UTP Richmond Bone & Joint	944436	06/30/2003		\$ 1,000,000 /	Included
Clinic				\$ 3,000,000	
UTP Richmond Bone & Joint	944437	06/30/2003		\$ 1,000,000 /	Included
Clinic - Katy				\$ 3,000,000	
UTP Richmond Bone & Joint	944435	06/30/2003		\$ 1,000,000 /	Included
Clinic-Sugar Land, Texas				\$ 3,000,000	
UTP Southeast EP	944423	06/30/2003		\$ 1,000,000 /	Included
				\$ 3,000,000	
UTP The Davis Clinic	944441	06/30/2003		\$ 1,000,000 /	Included
				\$ 3,000,000	
UTP TMC Orthopedics	1069836	06/30/2003		\$ 1,000,000 /	Included
Expansion		l l		\$ 3,000,000	
UTP Urogynecology and	944434	06/30/2003		\$ 1,000,000 /	Included
Gynecologic Oncology Timeshare				\$ 3,000,000	
UTP Urogynecology Center	1069837	06/30/2003		\$ 1,000,000 /	Included
				\$ 3,000,000	
UTP Vintage Park EP	941157	06/30/2003		\$ 1,000,000 /	Included
				\$ 3,000,000	
UTP Wellness Services	944442	06/30/2003		\$ 1,000,000 /	Included
	<u> </u>]		\$ 3,000,000	
UTP Women's Center	944412	06/30/2003		\$ 1,000,000 /	Included
		1 _1		\$ 3,000,000	
UTP Women's Ctr @ Memorial	944403	06/30/2003	-	\$ 1,000,000 /	Included
City		1		\$ 3,000,000	
UTP Woodland Pediatic	1069838	06/30/2003		\$ 1,000,000 /	Included
Specialists		1		\$ 3,000,000	
Weight Loss, Gen Med, Priority	944363	06/30/2003		\$ 1,000,000 /	Included
Care, Endo, Infectious Disease,	1			\$ 3,000,000	
Nephrology, Cardiology,					
Pulmonary, Wound Care					
Women's Center, Maternal Fetal	944368	06/30/2003		\$ 1,000,000 /	Included
				\$ 3,000,000	
Woodlands Pedi Specialty Clinic	944406	06/30/2003		\$ 1,000,000 /	Included
Timeshare				\$ 3,000,000	

Omaha, Nebraska

SCHEDULE OF INSURED BUSINESSES COMMERCIAL GENERAL LIABILITY (OCCURRENCE)

DECLARATIONS				
Policy Number: HN005259	First Named Insured:	UT Physicians		

DEDUCTIBLE - LOSS ONLY

Per Event Deductible: \$ 50,000

MODIFIED COVERAGE

FNI

LIMITS OF LIABILITY

Per Event Limit of Liability:

\$ 1,000,000

Damage to Premises Rented to an Insured Business:

100,000

Personal and Advertising Injury

Limit of Liability (Per Person):

\$ 1,000,000

General Aggregate Limit of Liability:

\$ 3,000,000

Products Completed Operations Hazard

Aggregate Limit of Liability:

\$ 3,000,000

SCHEDULE OF INSUREDS

In consideration of the payment of the premium due, and in reliance upon the representations of all **Insureds**, the **Company** and the **Insureds** agree the following entities are designated as **insured businesses**.

INSURED BUSINESS	ID NUMBER	MODIFIED COVERAGE	PREMIUM
UT Physicians	250924		
Bayshore Family	944373		Included
Bellaire Family General Practice	944378		Included
Bellaire Health Center	944380		Included
Bellaire Non-invasive Cardiology	944386		Included
Bellaire Surgery Specialty Timeshare	944432		Included

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DIGUED DUODING	ID	MODIFIED	DDE141114
INSURED BUSINESS Bellaire Surgical Specialties	NUMBER 944384	COVERAGE	PREMIUM Included
Bellaire Women's Ctr Wellness	944388		Included
Center for Healthy Aging	944396		Included
Cinco Ranch & Cinco Ranch Non Invasive	944367		Included
Convenient Care Ctr-Summer Creek	944399		Included
EP Heart-Huntsville Clinic Timeshare	944409		Included
Family Practice Clinic	944360		Included
General, Plastic	944375		Included
Greenspoint Clinic	944433		Included
	945110		Included
Gynecologic Oncology	943110		Included
Heights Clinic		·	Included
Hillcroft Medical Center Timeshare	944428		
Memorial Bone and Joint Clinic	944381		Included
Memorial City Iron Man	944379		Included
Memorial City Pedi Specialties	944413		Included
Memorial Herman SE Cardiology - Dr. Bapat	944376		Included
Memorial Hermann Humble (NE) Orthopaedics Timeshare	944411		Included
Memorial Hermann NE CV Surgery	944408		Included
Memorial Southeast CV Surgery	944422		Included
Memorial Southcast Orthopaedic	944404		Included
Memorial Southeast Otorhinolaryngology	944405		Included
Memorial Sugarland Ortho	944417		Included
Memorial SW Oncology Timeshare	944420		Included
MHHS SE CV Vascular	944370		Included
MHMP Cardiovascular Surgery	944397		Included
Neurology Adult	944371		Included
Neurology MNA Timeshare	944415		Included
Northwest Orthopedics NW Doctors Plaza	944383		Included
OB Gyn Physician Office	944369		Included
ORL Bellaire Timeshare	944427		Included
Otorhinolaryngolgy	944358		Included
Otorhinolaryngology, Hearing Aids	944429		Included
Pedi Ortho	944374		Included
Pediatric Cardiology/Pediatric	944400		Included

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	ID	MODIFIED	
INSURED BUSINESS	NUMBER	COVERAGE	PREMIUM
Psychitry Clinic/Phychiatry	944402		Included
Saint Joseph's Maternal Fetal Clinic	944390		Included
Sienna Non-Invasive Cardiology	944394		Included
Sienna Plantation Health Center	944392		Included
Sports Medicine Institute Timeshare	944407		Included
SW Jones Ortho, Medical Plaza 4	944385		Included
Urology Clinic	944366		Included
UT Bay Area Pediatric	1069815		Included
UT Bellaire Orthopedic Center	944357		Included
UT Center for Autoimmunity, Rheumatology, Infusion	944426		Included
UT Ortho Trauma	944430		Included
UT Woodlands Orthopedics	944387		Included
Utp Bayshore MultiSpecialty Clinic	1069816		Included
UTP Clear Lake SE CV Surgery	944416	<u> </u>	Included
UTP Comprehensive Sickle Cell Clinic	1069828		Included
UTP CV Surgery at Memorial City	944414		Included
UTP Dashwood	1093823		Included
UTP Dermatology	944359		Included
UTP EP Heart	1069829		Included
UTP Gynecologic-Oncology Ctr at Mem City	944401		Included
UTP Orthopedic Clinic	1069834		Included
UTP Pediatric Health Center @ Cinco	1069835		Included
UTP Pediatric Neurology	944362		Included
UTP Physicians EP Heart	944443		Included
UTP Primary Care at Smith Tower	944398		Included
UTP Richmond Bone & Joint Clinic	944436		Included
UTP Southeast EP	944423		Included
UTP The Davis Clinic	944441		Included
UTP TMC Orthopedics Expansion	1069836		Included
UTP Urogynecology and Gynecologic Oncology Timeshare	944434		Included
UTP Urogynecology Center	1069837		Included
UTP Wellness Services	944442		Included
UTP Women's Center	944412		Included
UTP Women's Ctr @ Memorial City	944403		Included

Exhibit 9

•				
	Policy Number: HN005259	First Named Insured:	UT Physicians	

INSURED BUSINESS	ID NUMBER	MODIFIED COVERAGE	PREMIUM
UTP Woodland Pediatic Specialists	1069838		Included
Weight Loss, Gen Med, Priority Care, Endo, Infectious Disease, Nephrology, Cardiology, Pulmonary, Wound Care	944363		Included
Women's Center, Maternal Fetal	944368		Included
Woodlands Pedi Specialty Clinic Timeshare	944406		Included

Omaha, Nebraska

SCHEDULE OF INSURED BUSINESSES COMMERCIAL GENERAL LIABILITY (OCCURRENCE)

DECLARATIONS						
Policy Number: HN005259	First Named Insured:	UT Physicians				

DEDUCTIBLE - LOSS ONLY

Per Event Deductible:

\$ 50,000

MODIFIED COVERAGE

FNI

LIMITS OF LIABILITY

Per Event Limit of Liability:

\$ 2,000,000

Damage to Premises Rented to an Insured Business:

100,000

Personal and Advertising Injury

Limit of Liability (Per Person):

\$ 2,000,000

General Aggregate Limit of Liability:

\$ 4,000,000

Products Completed Operations Hazard

Aggregate Limit of Liability:

\$ 4,000,000

SCHEDULE OF INSUREDS

In consideration of the payment of the premium due, and in reliance upon the representations of all **Insureds**, the **Company** and the **Insureds** agree the following entities are designated as **insured businesses**.

INSURED BUSINESS	ID NUMBER	MODIFIED COVERAGE	PREMIUM
UT Katy Ortho Clinic	944356		Included
UTP Colon and Rectal	944365		Included
UTP Orthopedic Surgery Pearland East	941159		Included
UTP Pediatric Center of Katy	944364		Included
UTP Vintage Park EP	941157		Included

Omaha, Nebraska

SCHEDULE OF INSURED EMPLOYERS EMPLOYEE BENEFITS LIABILITY (CLAIMS-MADE)

DECLARATIONS					
Policy Number: HN005259	First Named Insured:	UT Physicians			

DEDUCTIBLE - LOSS ONLY

MODIFIED COVERAGE

Per Event Deductible:

1,000

\$

FNI

SCHEDULE OF INSUREDS

In consideration of the payment of the premium due, and in reliance upon the representations of all Insureds, the Company and the Insureds agree the following entities are designated as insured employers.

INSURED EMPLOYER	ID NUMBER	RETRO- ACTIVE DATE	MODIFIED COVERAGE	PER EVENT/ AGGREGATE LIMIT OF LIABILITY	PREMIUM
UT Physicians	250924	06/30/2012		\$ 1,000,000 / \$ 3,000,000	

Omaha, Nebraska

SCHEDULE OF INSUREDS CYBER-LIABILITY/REGULATORY PROCEEDING/CRISIS MANAGEMENT/DATA PROTECTION REPUTATIONAL HARM

(CLAIMS-MADE)

	DECLARATIONS					
Policy Number: HN005259	First Named Insured:	UT Physic	cians			
RETENTION						
Coverages A-M:		\$	N/A	each Claim		
TIME RETENTION						
Coverages E(2) and G:		8 ho	urs			
LIMITS OF LIABILITY		_				
Coverage A - Multimedia Liability:		\$	50,000			
Coverage B - Security and Privacy Liabil	ity:	\$	50,000			
Coverage C - Privacy Regulatory Defense	e and Penalties:	\$	50,000			
Coverage D - Privacy Breach Response Costs, Customer Notificat			50.000			
Expenses, and Customer Support and Credit Monitoring Expense		s: \$	50,000			
Coverage E - Network Asset Protection:		\$	50,000			
Coverage F - Cyber Extortion:		\$	50,000			
Coverage G - Cyber Terrorism:		\$	50,000			
Coverage H - Regulatory Proceeding:		\$	N/A			
Coverage I - Evacuation Expense Reimbo	ursement:	\$	50,000			
Coverage J - Disinfection Expense Reiml	bursement:	\$	50,000			
Coverage K - Public Relations Expense Reimbursement:			50,000			
Coverage L - E-Discovery Claim Expens Investigation Expenses:	es and E-Discovery Reg	ulatory \$	50,000			
Coverage M - Data Protection Reputational Harm:		\$	50,000			
Aggregate Limit of Liability for this Insuring Agreement:		\$	50,000			

SCHEDULE OF INSUREDS

In consideration of the payment of the premium due, and in reliance upon the representations of all Insureds, the Company and the Insureds agree the following Insured Business(es) are designated as Insureds.

INSURED	ID NUMBER	RETROACTIVE DATE	MODIFIED COVERAGE	PREMIUM
UT Physicians	250924	06/30/2013		Included
Bayshore Family	944373	06/30/2015	FNI	Included
Bellaire Family General Practice	944378	06/30/2015	FNI	Included
Bellaire Health Center	944380	06/30/2015	FNI	Included
Bellaire Non-invasive Cardiology	944386	06/30/2015	FNI	Included
Bellaire Surgery Specialty Timeshare	944432	06/30/2015	FNI	Included
Bellaire Surgical Specialties	944384	06/30/2015	FNI	Included
Bellaire Women's Ctr Wellness	944388	06/30/2015	FNI	Included
Center for Healthy Aging	944396	06/30/2015	FNI	Included
Cinco Ranch & Cinco Ranch Non Invasive	944367	06/30/2015	FNI	Included
Convenient Care Ctr-Summer Creek	944399	06/30/2015	FNI	Included
EP Heart-Huntsville Clinic Timeshare	944409	06/30/2015	FNI	Included
Family Practice Clinic	944360	06/30/2015	FNI	Included
General, Plastic	944375	06/30/2015	FNI	Included
Greenspoint Clinic	944433	06/30/2015	FNI	Included
Gynecologic Oncology	945110	06/30/2015	FNI	Included
Heights Clinic	944410	06/30/2015	FNI	Included
Hillcroft Medical Center Timeshare	944428	06/30/2015	FNI	Included
Memorial Bone and Joint Clinic	944381	06/30/2015	FNI	Included
Memorial City Iron Man	944379	06/30/2015	FNI	Included
Memorial City Pedi Specialties	944413	06/30/2015	FNI	Included
Memorial Herman SE Cardiology - Dr. Bapat	944376	06/30/2015	FNI	Included
Memorial Hermann Humble (NE) Orthopaedics Timeshare	944411	06/30/2015	FNI	Included
Memorial Hermann NE CV Surgery	944408	06/30/2015	FNI	Included
Memorial Southeast CV Surgery	944422	06/30/2015	FNI	Included
Memorial Southeast Orthopaedic	944404	06/30/2015	FNI	Included
Memorial Southeast Otorhinolaryngology	944405	06/30/2015	FNI	Included
Memorial Sugarland Ortho	944417	06/30/2015	FNI	Included
Memorial SW Oncology Timeshare	944420	06/30/2015	FNI	Included
MHHS SE CV Vascular	944370	06/30/2015	FNI	Included
MHMP Cardiovascular Surgery	944397	06/30/2015	FNI	Included
Neurology Adult	944371	06/30/2015	FNI	Included
Neurology MNA Timeshare	944415	06/30/2015	FNI	Included

CYBER-LIABILITY/REGULATORY PROCEEDING/CRISIS MANAGEMENT/DATA PROTECTION/ REPUTATIONAL HARM

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INSURED	ID NUMBER	RETROACTIVE DATE	MODIFIED COVERAGE	PREMIUM
Northwest Orthopedics NW Doctors Plaza	944383	06/30/2015	FNI	Included
OB Gyn Physician Office	944369	06/30/2015	FNI	Included
ORL Bellaire Timeshare	944427	06/30/2015	FNI	Included
Otorhinolaryngolgy	944358	06/30/2015	FNI	Included
Otorhinolaryngology, Hearing Aids	944429	06/30/2015	FNI	Included
Pedi Ortho	944374	06/30/2015	FNI	Included
Pediatric Cardiology/Pediatric	944400	06/30/2015	FNI	Included
Psychitry Clinic/Phychiatry	944402	06/30/2015	FNI	Included
Saint Joseph's Maternal Fetal Clinic	944390	06/30/2015	FNI	Included
Sienna Non-Invasive Cardiology	944394	06/30/2015	FNI	Included
Sienna Plantation Health Center	944392	06/30/2015	FNI	Included
Sports Medicine Institute Timeshare	944407	06/30/2015	FNI	Included
SW Jones Ortho, Medical Plaza 4	944385	06/30/2015	FNI	Included
Urology Clinic	944366	06/30/2015	FNI	Included
UT Bay Area Pediatric	1069815	06/30/2015	FNI	Included
UT Bellaire Orthopedic Center	944357	06/30/2015	FNI	Included
UT Center for Autoimmunity, Rheumatology, Infusion	944426	06/30/2015	FNI	Included
UT Katy Ortho Clinic	944356	06/30/2015	FNI	Included
UT Ortho Trauma	944430	06/30/2015	FNI	Included
UT Woodlands Orthopedics	944387	06/30/2015	FNI	Included
UTP Bay Area Rectal Clinic - Memorial City	1069818	06/30/2015	FNI	Included
Utp Bayshore MultiSpecialty Clinic	1069816	06/30/2015	FNI	Included
UTP Clear Lake SE CV Surgery	944416	06/30/2015	FNI	Included
UTP Colon & Rectal Clinc - TMC Smith TwrStg	1069823	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - Katy	1069817	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - Memorial City	1093807	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - Peakwood	1069819	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - Southeast	1069820	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - Sugar Land	1069821	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - The Woodlands	1069822	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - TMC	1069827	06/30/2015	FNI	Included
UTP Colon & Rectal Clinic - TMC South TwrStg	1069824	06/30/2015	FNI	Included
UTP Colon and Rectal	944365	06/30/2015	FNI	Included
UTP Comprehensive Sickle Cell Clinic	1069828	06/30/2015	FNI	Included

INSURED	ID NUMBER	RETROACTIVE DATE	MODIFIED COVERAGE	PREMIUM
UTP CV Surgery at Memorial City	944414	06/30/2015	FNI	Included
UTP Dashwood Behavioral Health	944425	06/30/2015	FNI	Included
UTP Dashwood Healthcenter	944421	06/30/2015	FNI	Included
UTP Dashwood Multispecialty	944418	06/30/2015	FNI	Included
UTP Dashwood Pediatrics	944419	06/30/2015	FNI	Included
UTP Dermatology	944359	06/30/2015	FNI	Included
UTP EP Heart	1069829	06/30/2015	FNI	Included
UTP EP Heart - Clear Lake	944393	06/30/2015	FNI	Included
UTP EP Heart-Conroe Timeshare	945113	06/30/2015	FNI	Included
UTP EP Heart-TMC Timeshare	944395	06/30/2015	FNI	Included
UTP EP Heart-Willowbrook Timeshare	944389	06/30/2015	FNI	Included
UTP EP Heart-Woodlands	944391	06/30/2015	FNI	Included
UTP Gynecologic-Oncology Ctr at Mem City	944401	06/30/2015	FNI	Included
UTP Hosp - Bellaire	1069830	06/30/2015	FNI	Included
UTP Hosp - Beliaire Radiology	1069831	06/30/2015	FNI	Included
UTP Hosp - TMC/BINZ	1069832	06/30/2015	FNI	Included
UTP Hosp - West Houston	1069833	06/30/2015	FNI	Included
UTP Orthopedic Clinic	1069834	06/30/2015	FNI	Included
UTP Orthopedic Surgery Pearland East	. 941159	06/30/2015	FNI	Included
UTP Pediatric Center of Katy	944364	06/30/2015	FNI	Included
UTP Pediatric Health Center @ Cinco	1069835	06/30/2015	FNI	lncluded
UTP Pediatric Neurology	944362	06/30/2015	FNI	Included
UTP Physicians EP Heart	944443	06/30/2015	FNI	Included
UTP Primary Care at Smith Tower	944398	06/30/2015	FNI	Included
UTP Richmond Bone & Joint Clinic	944436	06/30/2015	FNI	Included
UTP Richmond Bone & Joint Clinic - Katy	944437	06/30/2015	FNI	Included
UTP Richmond Bone & Joint Clinic-Sugar Land, Texas	944435	06/30/2015	FNI	Included
UTP Southeast EP	944423	06/30/2015	FNI	Included
UTP The Davis Clinic	944441	06/30/2015	FNI	Included
UTP TMC Orthopedics Expansion	1069836	06/30/2015	FNI	Included
UTP Urogynecology and Gynecologic Oncology Timeshare	944434	06/30/2015	FNI	Included
UTP Urogynecology Center	1069837	06/30/2015	FNI	Included
UTP Vintage Park EP	941157	06/30/2015	FNI	Included
UTP Wellness Services	944442	06/30/2015	FNI	Included
UTP Women's Center	944412	06/30/2015	FNI	Included

CYBER-LIABILITY/REGULATORY PROCEEDING/CRISIS MANAGEMENT/DATA PROTECTION/ REPUTATIONAL HARM

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INSURED	ID NUMBER	RETROACTIVE DATE	MODIFIED COVERAGE	PREMIUM
UTP Women's Ctr @ Memorial City	944403	06/30/2015	FNI	Included
UTP Woodland Pediatic Specialists	1069838	06/30/2015	FNI	Included
Weight Loss, Gen Med, Priority Care, Endo, Infectious Disease, Nephrology, Cardiology, Pulmonary, Wound Care	944363	06/30/2015	FNI	Included
Women's Center, Maternal Fetal	944368	06/30/2015	FNI	Included
Woodlands Pedi Specialty Clinic Timeshare	944406	06/30/2015	FNI	Included

Omaha, Nebraska

All effective dates are 12:01 a.m. Standard Time at the address of the First Named Insured.

Endorsement No.	Forming Part of Policy No.	First Named Insured
5	HN005259	UT Physicians
Effective Date of Er	ndorsement	
06/30/2016		

UNIVERSAL AGGREGATE ENDORSEMENT

In consideration of the payment of the additional premium due, if any, and in reliance upon the representations of all Insureds, the Company and the Insureds agree to amend the policy as follows:

ENDORSEMENT DECLARATIONS Universal Aggregate Limit of Liability: \$ 15,000,000

MODIFIED COVERAGES

The following provision is added to the LIMITS OF LIABILITY section of the Insuring Agreements shown below:

Health Care Facilities Professional Liability Commercial General Liability Employee Benefits Liability

Universal Aggregate.

It is hereby agreed and understood that the Universal Aggregate Limit of Liability is the most the Company will pay for all duties and obligations owed, including but not limited to, loss, damages or expenses, under the Insuring Agreements shown above.

It is further agreed and understood that, once this limit has been exhausted through the Company's payment of loss, damages or expenses, the Company shall have no further duties to defend or pay loss, damages or expenses on behalf of any Insured under the Insuring Agreements shown above.

All other terms and conditions of the policy remain unchanged.

Omaha, Nebraska

All effective dates are 12:01 a.m. Standard Time at the address of the First Named Insured.

Endorsement No.	Forming Part of Policy No.	First Named Insured	
7	HN005259	UT Physicians	
Effective Date of Endorsement			
06/30/2016			

INTENTIONAL ACTS EXCLUSION AND SUBLIMITS OF LIABILITY FOR INTENTIONAL ACTS ENDORSEMENT

In consideration of the payment of the additional premium due, if any, and in reliance upon the representations of all Insureds, the Company and the Insureds agree to amend the policy as follows:

MODIFIED COVERAGES

Subject to the exclusion set forth below, the following provision is added to the *LIMITS OF LIABILITY* of all Professional Liability Insuring Agreements selected under this policy:

The Company's total Limit of Liability for loss and claims expense arising from or in connection with the intentional acts covered under this policy shall not exceed: (1) the Intentional Acts Total Aggregate Limit of Liability set forth below, and (2) the amounts set forth as the Per Event and Per Aggregate Sublimits of Liability listed below:

Intentional Acts Total Aggregate Limit of Liability:

\$1,000,000

Intentional Acts Sublimits of Liability:

Per Event Sublimit of Liability:

\$ 1,000,000

Aggregate Sublimit of Liability:

\$ 1,000,000

The Intentional Acts Total Aggregate Limit of Liability is the most the Company will pay for all loss and/or claims expense for all claims or potential claims for all Insureds covered under this policy, including any amounts paid under the Intentional Acts Per Event and Aggregate Sublimits of Liability. Additionally, the Intentional Acts Sublimits of Liability specified above are within and shall erode the Per Event and Aggregate Limits of Liability available to any Insured under the applicable insuring agreement.

It is expressly understood that nothing herein shall operate to create multiple Limits of Liability for any Insured.

Solely with respect to the coverage provided under the Professional Liability Insuring Agreements selected under this policy, the following exclusion is deleted from the General Exclusions:

Any claim or potential claim arising from, or in connection with, any act listed in the subparagraphs below, and any event or health care event when intertwined with, or inseparable from, any such act:

- 1. any malicious act or intentional tort;
- 2. any actual or threatened sexual act, behavior or conduct, including, but not limited to, assault, exploitation, harassment or molestation, by any person of another person while in the care, custody, or control of any Insured, whether under

the guise of treatment or not; or the negligent employment, investigation, supervision, reporting to the proper authorities, or failure to so report, or retention of a person for whom any **Insured** is or ever was legally responsible and whose conduct would be excluded under this exclusion;

- 3. any personal, romantic, sexual, or other non-professional relationship with a current, former, or prospective patient, whether under the guise of treatment or not;
- 4. any willful violation of any law, statute, or regulation;
- 5. any dishonest or fraudulent act;
- 6. any breach of contract or guaranty regarding the efficacy of treatment;
- 7. professional services rendered or which should have been rendered if it is determined that an Insured was in any manner, extent or degree impaired by or under the influence of alcohol, narcotics, hallucinogenic agents, drugs or intoxicants of any nature or kind; or,
- 8. any fabrication, alteration or destruction, in whole or in part, of any medical record pertaining to the person whose treatment is the subject of the claim or potential claim, including, but not limited to, any medical or business record pertaining to the condition, treatment and/or consent of such person to any professional service, in whole or in part, by or at the direction of an Insured, after the happening of the activity reflected in such document or record. However, this exclusion does not apply to bona fide corrections to records made in accordance with applicable generally accepted professional standards, but this exception only applies if such corrections are identified as such, dated and signed by the person making them.

Under Exclusion I.A., the Company will defend and pay claims expense for any claim or potential claim arising from, or in connection with, an event or health care event when intertwined with, or inseparable from, any act listed in the above subparagraphs. However, the Company's duty to defend any Insured for such a claim or potential claim will cease if the Company's investigation of the facts makes it reasonably apparent to the Company, or it is established by judgment, that an Insured engaged in any act listed in the above subparagraphs.

Solely with respect to the coverage provided under the Professional Liability Insuring Agreements selected under this policy, this following exclusion is added to General Exclusions:

Any claim or potential claim arising from, or in connection with, any act listed in the subparagraphs below, and any health care event when intertwined with, or inseparable from, any such act:

- 1. any malicious act or intentional tort;
- 2. any actual or threatened sexual act, behavior or conduct, including, but not limited to, assault, exploitation, harassment or molestation, by any person of another person while in the care, custody, or control of any Insured, whether under the guise of treatment or not; or the negligent employment, investigation, supervision, reporting to the proper authorities, or failure to so report, or retention of a person for whom any Insured is or ever was legally responsible and whose conduct would be excluded under this exclusion;
- 3. any personal, romantic, sexual, or other non-professional relationship with a current, former, or prospective patient, whether under the guise of treatment or not;
- 4. any willful violation of any law, statute, or regulation;
- 5. any dishonest or fraudulent act;
- 6. any breach of contract or guaranty regarding the efficacy of treatment;
- 7. professional services rendered or which should have been rendered if it is determined that an Insured was in any manner, extent or degree impaired by or under the influence of alcohol, narcotics, hallucinogenic agents, drugs or intoxicants of any nature or kind; or,
- 8. any fabrication, alteration or destruction, in whole or in part, of any medical record pertaining to the person whose treatment is the subject of the claim or potential claim, including, but not limited to, any medical or business record pertaining to the condition, treatment and/or consent of such person to any professional service, in whole or in part, by or at the direction of an Insured, after the happening of the activity reflected in such document or record. However, this exclusion does not apply to bona fide corrections to records made in accordance with applicable generally accepted professional standards, but this exception only applies if such corrections are identified as such, dated and signed by the person making them.

Exhibit 9

Under this exclusion, the Company's duty to defend an Insured for such a claim or potential claim will cease when it is established by trial or arbitration verdict, court ruling, regulatory ruling or legal admission that the Insured engaged in any act listed in the above subparagraphs.

However, the Company will continue to defend and pay loss and claims expense up to the Intentional Acts Total Aggregate Limit of Liability and the Per Event and Aggregate Sublimits of Liability set forth in this endorsement for any claim or potential claim arising from, or in connection with, any health care event when intertwined with, or inseparable from, any act listed in the above subparagraphs, brought against any Insured who did not direct or act in concert to commit, directly participate in or direct another, in connection with any of the actions excluded under this provision.

Once the Intentional Acts Total Aggregate Limit of Liability and/or the Sublimits are exhausted by the Company's payment of loss and/or claims expense, the Company has no further duty to defend or indemnify any Insured.

All other terms and conditions of the policy remain unchanged.

Omaha, Nebraska

All effective dates are 12:01 a.m. Standard Time at the address of the First Named Insured.

Endorsement No.	Forming Part of Policy No.	First Named Insured
10	HN005259	UT Physicians
Effective Date of Endorsement		
06/30/2016		

MEDICAL PAYMENTS ENDORSEMENT COMMERCIAL GENERAL LIABILITY INSURING AGREEMENT

In consideration of the payment of the additional premium due, if any, and in reliance upon the representations of all Insureds, the Company and the Insureds agree to amend the Commercial General Liability Insuring Agreement as follows:

MODIFIED COVERAGES

The following provision is added to the LIMITS OF LIABILITY - ALL COMMERCIAL GENERAL LIABILITY COVERAGES:

The total limit of the Company's liability for COVERAGE C: MEDICAL PAYMENTS shall not exceed the amounts set forth as the Per Person limits listed below:

Medical Expense Limit of Liability (Per Person):

\$5,000

The Medical Expense Limit of Liability is the most the Company will pay under COVERAGE C for all medical expenses because of bodily injury sustained by any one person, and are within and shall erode the General Aggregate Limits of Liability as set forth in the Schedule of Insured Businesses Commercial General Liability for any Insured.

It is expressly understood that nothing in this endorsement shall operate to create multiple Limits of Liability applicable to claims for bodily injury regardless of how many sets of limits are provided in any schedule attached to this policy.

The following new section is added to the Commercial General Liability Insuring Agreement:

INSURING AGREEMENT—COVERAGE C: MEDICAL PAYMENTS

- A. The Company will pay on behalf of the insured business, including its agents, medical expenses for bodily injury caused by an accident. However,
 - 1. the accident must have taken place in the coverage territory during the policy period;
 - 2. the medical expenses must have been incurred and reported to the Company within one year of the accident;
 - 3. the accident must have taken place:
 - a. on a premises owned or occupied by the insured business,
 - b. on the ways next to a premises owned or occupied by the insured business, or
 - c. because of the operations of the insured business; and,
 - 4. the injured person must submit to examination, at the Company's expense, by physicians of the Company's choosing, as often as the Company reasonably requires.

- B. The Company will pay these medical expenses regardless of fault. These payments will not exceed the applicable limit of insurance. The Company will pay reasonable expenses for:
 - 1. first aid administered at the time of an accident;
 - 2. necessary medical, surgical, x-ray and dental services, including prosthetic devices; and
 - 3. necessary ambulance, hospital, professional nursing and funeral services.

The following new section is added to the Commercial General Liability Insuring Agreement:

EXCLUSIONS—COVERAGE C: MEDICAL PAYMENTS

- A. The Company will not pay medical expenses arising from bodily injury:
 - 1. from a health care event or managed care event;
 - 2. to an Insured, except volunteer workers;
 - 3. to a person hired to do work for, or on behalf of, an Insured or tenant of an Insured;
 - 4. to a person injured on that part of a premises owned or rented by an Insured that the injured person normally occupies;
 - 5. to any person, whether or not an employee of an Insured, who is eligible for benefits under a workers' compensation, occupational disease, disability benefits, or similar law;
 - 6. to a person injured while practicing, instructing or participating in any physical exercises or games, sports, or athletic contests;
 - 7. covered as a products completed operations hazard;
 - 8. that is excluded under COVERAGE A; or,
 - 9. to any inmate, patient, or prisoner, who is being treated, cared for, detained, or imprisoned by any **Insured** or in any **insured business**.

The following definition is added to DEFINITIONS - ALL COMMERCIAL GENERAL LIABILITY COVERAGES:

Medical expenses means the reasonable cost of necessary:

- 1. first aid administered at the time of the accident;
- 2. medical, surgical, diagnostic, and dental services;
- 3. prosthetic devices; and,
- 4. ambulance, hospital, professional nursing, and funeral services.

All other terms and conditions of the policy remain unchanged.